TrinityBridge

Investment Account Transfer Authority
Direct Investment Service

About you

Title	
First name	
Middle name(s)	
Surname	
Date of birth	D D / M M / Y Y Y Y
National Insurance number	

Joint account holder details

Title	
First name	
Middle name(s)	
Surname	
Date of birth	D D / M M / Y Y Y Y
National Insurance number	

1. Investment Account transfer details 1

Transfer destination

Which of your TrinityBridge accounts would you like to transfer in to? ☐ New ☐ Existing

If existing, please provide account name and reference number as shown online or on your Investment Report

Current provider

Current plan number (enter the account number from your existing provider)

Transfer type* ☐ Full ☐ Partial**

Estimated plan transfer value £

* **PLEASE NOTE: Some providers (i.e. Fidelity Funds Network) may only permit transfers in full. For full and partial transfers, please note that we will only be able to accept what is reported to us by your existing provider. Please note that as part of the transfer process, it may not be possible to make all these investments available on our system.**

** **For partial transfers, please complete the table on the next page for the investments you wish to transfer across.**

Charges

TrinityBridge will not charge you for making a transfer. However, where you are transferring investments from another provider, they may levy a charge for this.

Where you are investing in funds a platform fee is typically payable to TrinityBridge and will be calculated at the highest possible rate, excluding any promotional discount for illustrative purposes. Details of our charges can be found in our Key Features and Charges document.

What happens now?

1. Complete this transfer authority form (if you intend to transfer in multiple investments from several different providers, you will need to complete a separate form for each transfer) and post to us using our FREEPOST address: **Freepost TRINITYBRIDGE INVESTOR SUPPORT**
2. Upon receipt of your form we will submit the request to your current provider providing everything is in order.
3. Your current provider will then process your request. If the transfer is being made in cash it will probably take around two weeks to complete. If the transfer is being made in-specie it could take upto six weeks to complete. However, in many cases the actual transfer times are much faster.
4. We will add your transferred investments or cash to your account. When completed, you'll be able to view these investments online.

1 **Important: Please note that by using this form we are only able to accept unit transfers ('in specie' transfers) into a TrinityBridge Investment Account.**

Any cash held within this Investment account will be transferred to TrinityBridge regardless of the estimated amount entered here.

IMPORTANT INFORMATION

We are only able to accept unit (i.e. In-specie) transfers into a TrinityBridge Investment Account. Should the share class of your investment not be available on our platform, we may still be able to accept the transfer and will contact you to outline your options. Investments which are not available will either be sold and transferred as cash or left with the existing provider. Please indicate in one of the boxes below how you wish to deal with this. You can search your current holdings online to confirm that they are supported by our service. Please note, you can only transfer entire holdings of any one transferring stock. It is your responsibility to check if your holdings are available through TrinityBridge.

How do you want us to deal with investments that are not available on our system?

☐ Sell and transfer as cash ☐ Leave with existing provider

☐ Sell and transfer as cash but contact me first on the number below before proceeding:

Preferred contact number:

A. Transferring Stock 2

Stock name	Sedol/ISIN	Approximate number of units	Approximate value
		Total	

2. Important information

TrinityBridge has given no advice as to the suitability of this transfer for your needs. By reading and accepting the information and declaration below you confirm acceptance that you are solely responsible for your investment decisions, for the suitability of the transfer, for the product you have selected and that you understand the risks to your capital that are involved. If you are unsure about the suitability of the product/investment for your needs, or if you are in doubt about buying or selling shares, or anything else regarding investing, we recommend you seek professional financial advice. If you would like to speak to one of our Financial Advisers please call us to arrange a no obligation consultation.

This service is provided in accordance with our Terms & Conditions that we intend to rely on which are available at all times on our website. This transaction will be processed in line with those terms and conditions. For your own benefit and protection you should ensure you read and agree to those terms before completing this transaction. If you do not understand any point please call us to request further information.

2 This section only needs to be completed for partial transfers.

Declaration

By completing this transfer application, I confirm that the re-registration of the accounts and investments I have specified during this transfer application process will not result in a change of beneficial ownership from (or among) the Account Holder(s) and hereby authorise my current provider(s), where relevant, to:

- Provide TrinityBridge, with any information they require in respect of the investment accounts managed by them on my/our behalf. The information required will include (but is not limited to), transaction and dividend histories and details of your current investments and their values;
- Cease collection of any regular savings with immediate effect; and
- Forward any dividends received following the closure of my account to TrinityBridge.

I have read and agreed to the above Declaration, and any Terms and Conditions and Key Features and Charges Schedule made available to me, and agree to be bound by their terms and conditions; and

I have read and agreed to any Simplified Prospectuses and/or Key Investor Information Documents (KIIDs) made available to me during this transfer application process and agree to be bound by their terms and conditions; and

I wish to proceed and submit this transfer application and any associated transactions.

Signature - Account Holder

Print name (BLOCK CAPITALS)

Date D D / M M / Y Y Y Y

Signature - Account Holder

Print name (BLOCK CAPITALS)

Date D D / M M / Y Y Y Y

To return this form, please scan and email it from your registered email address to:
directinvestment@trinitybridge.com

Or you can post it using our FREEPOST address:
'Freepost TRINITYBRIDGE INVESTOR SUPPORT'

Our Terms and Conditions are available online, please visit:
trinitybridge.com/general-terms-and-conditions

TrinityBridge is a trading name of TrinityBridge Limited (registered in England and Wales under company number 01644127) and TrinityBridge Fund Management Limited (registered in England and Wales under company number 02998803). Both companies are authorised and regulated by the Financial Conduct Authority. Registered office: Wigmore Yard, 42 Wigmore Street, London, W1U 2RY.