TrinityBridge

TrinityBridge Stocks and Shares ISA Account Opening Form Direct Investment Service

1. Personal details

Existing TrinityBridge client reference

Title

First name

Middle name(s)

Surname

Date of birth D D / M M / Y Y Y Y

National Insurance number

☐ Please tick this box if you have not been issued with a National Insurance number

Email address

2. Income from your investments

Some investments generate income in the form of dividends, distributions or interest. You need to specify how you would like this income to be treated.

What would you like us to do with this income?

☐ Keep in my capital account ☐ Pay away to my nominated bank account

3. Funding your ISA

New Stocks and Shares ISA subscription for this tax year and any successive year until further notice.

☐ One off payment by bank transfer 1 £

☐ Regular payment by Direct Debit £

Payment start date 2 D D / M M / Y Y Y Y

Frequency ☐ Monthly ☐ Quarterly ☐ Annually

☐ Existing TrinityBridge Investment account in your name £

TrinityBridge Investment account number

Before deciding how to invest, you need to choose the appropriate account(s) to hold your investments in. Each account type has different tax benefits and implications.

You may hold only one SIPP or ISA, but as many Investment Accounts as you need.

1. Please obtain our bank details and your reference for bank transfers. Payments must come from a bank account in your name and that we are able to verify either electronically or with physical documentation (see Nominated bank account section).

2. Note that this must be a minimum of 15 business days from the date you submit your application and must fall on or between the 1st and 28th of the month. If we are unable to take the first payment on the date specified, we will take it as soon as possible and revert to the requested date for subsequent months.

4. Investment details

TrinityBridge range of funds

How would you like to invest the money in your account?

TrinityBridge Funds Umbrella (X Class)	Inc units	Acc units	£ Investment	OR	% Investment
Select Fixed Income Fund			£	OR	%
Diversified Income Fund			£	OR	%
Conservative Portfolio Fund			£	OR	%
Balanced Portfolio Fund			£	OR	%
Sustainable Balanced Portfolio Fund			£	OR	%
Growth Portfolio Fund			£	OR	%
Managed Income Fund			£	OR	%
Conservative Managed Fund			£	OR	%
Balanced Managed Fund			£	OR	%
Growth Managed Fund			£	OR	%
Conservative Tactical Passive Fund			£	OR	%
Balanced Tactical Passive Fund			£	OR	%
Growth Tactical Passive Fund			£	OR	%
Other TrinityBridge Funds (X Class)		Acc units	£ Investment	OR	% Investment
Select Global Equity Fund					

5. Externally managed funds

Where would you like this to be invested?

Investment name	Sedol	Amount
		£
		£
		£
		£
		£
		£
		£
		£
		£
	Total	£

6. Nominated bank account

Which account are you using to fund your ISA?

Account name

Account number

Sort code – –

Reference/Building society roll number

Bank/building society name

Evidence of bank account provided

- ☐ Original or certified copy of a postal bank statement dated within the last 3 months 3
- ☐ Evidence already on file

3 For more detail on how to certify a document refer to the Anti Money laundering guide on our website **trinitybridge.com/direct-investment-service/document-library**

We cannot proceed with any investment without verification of a valid bank account. Payments and investments may be delayed or returned if we dont have the verification we require.

TrinityBridge

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form including official use box using a ball point pen and send to the address below or alternatively please esign and return via the encrypted email:

TrinityBridge Ltd
Wigmore Yard
42 Wigmore Street
London
W1U 2RY
UK

Service user number

2	7	5	0	7	1

For TrinityBridge Ltd official use only.
This is not part of the instruction to your bank or building society.

Name(s) of Account Holder(s)

Bank/Building Society account number

Branch Sort Code

Name and full postal address of your Bank or Building Society

To: The Manager — Bank/building society

Address

Postcode

Reference

Instruction to your Bank or Building Society
Please pay TrinityBridge Ltd Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with TrinityBridge Ltd and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of account

DDI 1 5/15

This guarantee should be detached and retained by the payer.

The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit TrinityBridge Ltd will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request TrinityBridge Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by TrinityBridge Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when TrinityBridge Ltd asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

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8. Important information

This is our standard client agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing them. If you do not understand any point please ask for further information.

Please note this is not a flexible ISA. Withdrawals may not be able to be replaced and will count towards your allowance.

TrinityBridge has given no advice as to the suitability of this product for your needs. By reading and accepting the information and declaration below you confirm acceptance that you are solely responsible for your investment decisions, and for the suitability of the product you have selected.

This service is provided, and will be carried out, in line with our Terms and Conditions. The Terms and Conditions, charges and other relevant information are available at all times on our website. For your own benefit and protection, please ensure you read and agree to them. If there is anything you do not understand please call us to request clarification.

9. Declarations

I apply to subscribe for a Stocks and Shares ISA for the current tax year and any successive year until further notice.

I declare that:

- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed/made payment to, and will not subscribe/make payments to more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year;
- I have not subscribed, and will not subscribe, to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA;
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with, a person who performs such duties. I will inform TrinityBridge Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- Where the above instruction relates to an investment into a TrinityBridge fund(s), you confirm that you have read and understood any Key Investor Information Documentation (KIID) and Sustainability Disclosures provided on our website – trinitybridge.com/funds
- Where the above instruction relates to an investment into a third party fund, you acknowledge that TrinityBridge will be required to provide you with the appropriate Key Investor Information Documentation before this transaction can be processed
- TrinityBridge will endeavour to provide you with a sustainability disclosure document where one has been made available for distribution by the fund manager. Where we are distributing non-UK domiciled funds, FCA rules require we provide you the following notice: 'This product is based overseas and is not subject to UK sustainable investment labelling and disclosure requirements'
- TrinityBridge will endeavour to provide the required documentation electronically upon receipt of this form, however, should you choose to request this is sent via the post you are aware that this may cause additional delays in processing your instruction
- TrinityBridge has given no advice as to the suitability of this product/investment for your needs. By reading and accepting the information and declaration below you confirm that you are solely responsible for your investment decisions, for the suitability of the product you have selected and that you understand the risks to your capital that are involved. If you are unsure about the suitability of the product/investment for your needs, or if you are in any doubt about anything else regarding investment, we recommend you seek professional financial advice
- All instructions to buy or sell investments will be completed in line with our order execution policy, as detailed in our Terms and Conditons
- Initial Financial Planning and Implementation Fees are not applied to this instruction

I authorise TrinityBridge Limited:

- To hold my cash subscription/payment, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- To make on my behalf any claims to relief and tax in respect of ISA investments;
- On my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash;
- I apply to TrinityBridge Limited to operate the ISA. I confirm that I have read, understood and agreed to the Terms and Conditions and I request that any subscription be invested as detailed in this application, or as directed from time to time.

I declare that the information in this application is correct to the best of my knowledge and belief. I have read and agreed to any Declaration, Terms & Conditions and Key Features and Charges Schedules made available to me and agree to be bound by their terms and conditions. These documents can also be found on the TrinityBridge website at any time.

Signature

Print name (BLOCK CAPITALS)

Date D D / M M / Y Y Y Y

To return this form, please scan and email it from your registered email address to:

directinvestment@trinitybridge.com

Or you can post it to us using our FREEPOST address:

'freepost TRINITYBRIDGE INVESTOR SUPPORT'

Or, if signing electronically via DocuSign then click 'Finish' to submit

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