

About you

Title	
First name	
Middle name(s)	
Surname	
Date of birth	D D / M M / Y Y Y Y
National Insurance number	

Stocks and Shares ISA transfer details

Current provider	
Current plan number (enter the account number from your existing provider)	
Transfer method	☐ Cash ☐ In-specie (unit transfer)
Transfer type*	☐ Full ☐ Partial**
Estimated plan transfer value	£

* PLEASE NOTE: Some providers (i.e. Fidelity Funds Network) may only permit transfers in full. For full and partial transfers, please note that we will only be able to accept what is reported to us by your existing provider. Please note that as part of the transfer process, it may not be possible to make all these investments available on our system.

** For partial transfers, please complete the table on the next page for the investments you wish to transfer across.

IMPORTANT INFORMATION

Investments which are not available will either be sold and transferred as cash or left with the existing provider. Should the share class of your investment not be available on our platform, we may still be able to accept the transfer and will contact you to outline your options. Please indicate in one of the boxes below how you wish to deal with this. If you have specified a cash transfer option in these circumstances you will need to specify the additional investments that you wish to hold in your Stocks and Shares ISA. Please note, you can only transfer entire holdings of any one transferring stock. It is your responsibility to check if your holdings are available.

How do you want us to deal with investments that are not available on our system?

☐ Sell and transfer as cash ☐ Leave with existing provider

☐ Sell and transfer as cash but contact me first on the number below before proceeding:

Preferred contact number:

Charges

TrinityBridge will not charge you for making a transfer. A charge may be incurred upon the transfer of investments from another provider.

TrinityBridge will charge a platform fee for investing in funds. This will be calculated at the highest possible rate, excluding any promotional discount for illustrative purposes. Charges are listed within our Key Features and Charges document.

Transferring existing investments

1. Please complete this form to transfer investments from an existing provider. If you are transferring investments from multiple providers, separate authorisation documents will be required for each one respectively.
2. Return to TrinityBridge see overleaf for return details.
3. Upon receipt of the completed form, we will submit the request to your current provider. Cash transfers typically take two weeks to action, while the transfer of stocks in their existing form can take up to six weeks, but are usually completed sooner.
4. We will add your transferred investments or cash to your account, following which you'll be able to view these online.

Cancellation

Please contact us if you wish to cancel your transfer after submitting this application.

A. Transferring Stock ²

² This section only needs to be completed for partial transfers.

Stock name	Sedol/ISIN	Approximate number of units	Approximate value
Total			

Important information

Please note this is not a flexible ISA. Withdrawals will not be able to be replaced and will count towards your allowance.

TrinityBridge has given no advice regarding the suitability of this product for your needs. By reading and accepting the information and declaration below you confirm acceptance that you are solely responsible for your investment decisions, for the suitability of the transfer, for the product you have selected and that you understand the risks to your capital that are involved.

This is our standard client agreement which aligns with the TrinityBridge Terms and Conditions. This transaction will be processed and this ISA managed in accordance with the TrinityBridge Terms and Conditions. These can be viewed at trinitybridge.com/general-terms-and-conditions. For your own benefit and protection you should read these terms carefully before signing them. Please speak to your adviser if you have any questions regarding these.

If you are unsure about the suitability of this product or its underlying investments for your needs, or have any doubts about buying or selling shares, or any investment matters, we recommend you seek professional financial advice. Please contact us for if you would like to speak to a financial adviser.

Declaration

Cash transfers

I authorise TrinityBridge or its nominees:

- To hold my cash subscription, investments, interest, dividends and any other rights or proceeds in respect of the investments and cash within this ISA
- To make on my behalf any claims to tax relief relating to investments within this ISA
- To cease contributions to my monthly savings plans with immediate effect if I have chosen to have my current tax year ISA transferred
- To forward any dividends received following the closure of my account to TrinityBridge.

Re-registering assets

By completing this form and signing below, I authorise the ISA Plan Manager named above to:

- Provide TrinityBridge with any information they require in respect of the Individual Savings Account(s) (including pre 1999 ISAs) managed by them on my behalf. The information TrinityBridge require will include (but is not limited to), transaction and dividend histories and details of the current portfolio and its value
- Re-register all eligible ISA holdings within my current tax year ISA
- To retain ineligible ISA holdings held within current and previous tax years and accept TrinityBridge's authority to sell the holdings and transfer the proceeds as cash
- Cease collections of my monthly savings plans with immediate effect, if I have chosen to have my current tax year ISA transferred

I declare that the information in this application is correct to the best of my knowledge and belief.

I have read and agree to the above declaration and any terms and conditions, key features and charges schedules that have been shared with me. I have also read and agree to any Simplified Prospectuses and/or Key Investor Information Documents (KIIDs) that have been made available to me on the online application page during this transfer application process and agree to be bound by their terms and conditions.

I am aware that these documents are available on the TrinityBridge website should I wish to review them in future.

I wish to proceed and submit this transfer application and any associated transactions.

Declaration

I authorise TrinityBridge Limited:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- To make on my behalf any claims to relief and tax in respect of ISA investments;
- On my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.
- I apply to TrinityBridge Limited to operate the ISA. I confirm that I have read, understood and agreed to the Terms and Conditions of which this application forms part and I request that any subscription be invested as detailed in this application, or as directed from time to time.
- I declare that the information in this application is correct to the best of my knowledge and belief.
- This service is provided in accordance with our Terms and Conditions that we intend to rely on. For your own benefit and protection, you should read these terms carefully before clicking the accept and submit button. If you do not understand any point please ask for further information.
- In order to confirm these declarations and authorisations, to accept our terms, and to clarify that certain powers are being conferred on the company please now click the submit button below.

Signature - Account Holder

Print name (BLOCK CAPITALS)

Date

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M

M

/

Y

Y

Y

Y

To return this form, please scan and email it from your registered email address to:
directinvestment@trinitybridge.com

Or you can post it using our FREEPOST address:
‘Freepost TRINITYBRIDGE INVESTOR SUPPORT’

Or, if signing electronically via DocuSign then click ‘Finish’ to submit